

Αξιολόγηση Ερευνητικών Προτάσεων στο H2020

Δρ. Α. Λασκαράκης

Nanotechnology Lab LTFN
Laboratory for Thin Films- Nanobiomaterials,
Nanosystems & Nanometrology

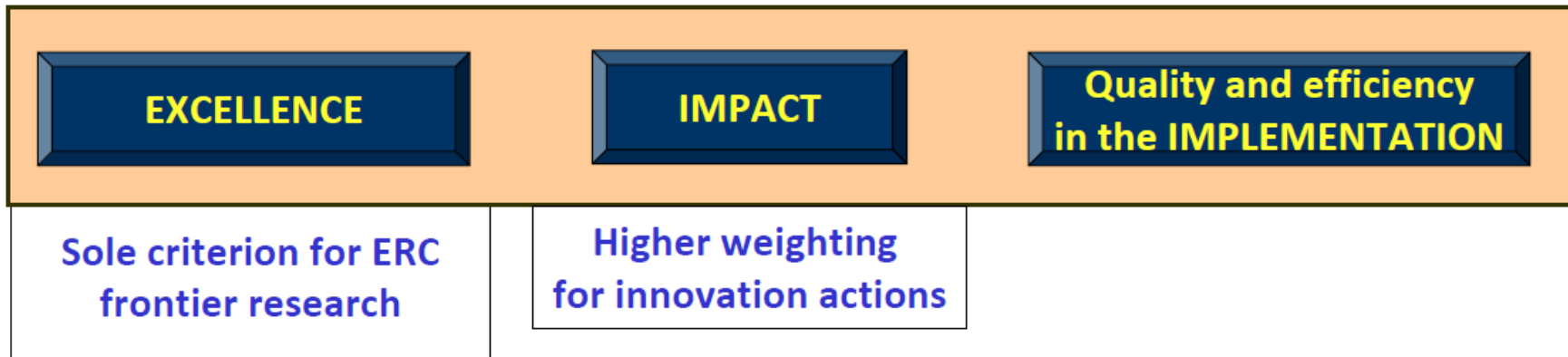
www.lfn.gr
alask@auth.gr

- Evaluation Criteria of H2020 Proposals**
- Scoring/Weights/Thresholds in H2020 Evaluation**

- Principle of Experts**
- What Experts are looking for**

- Evaluation process**

Evaluation Criteria of H2020 Proposals



(1) Award criteria

➔ The 3 above - Details, Weightings and thresholds to be laid down in the WP

(2) Selection Criteria

– *Operational capacity (no specific provisions)*

Applicants must have the professional competencies and qualifications required to complete the proposed action or work programme: it may be assessed on the basis of specific qualifications, professional experience and references in the field concerned.

– *Financial capacity*

- Only coordinator of actions equal or superior 500 K€, except specific cases
- No verification for public bodies , entities guaranteed by MS or AC and higher and secondary education establishments

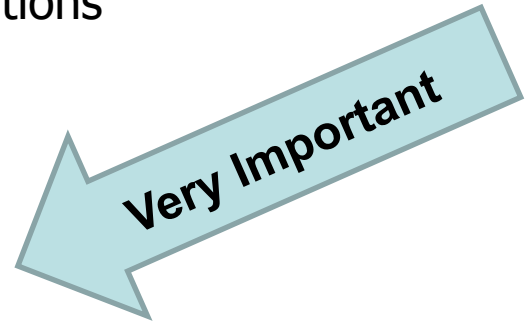
Evaluation Criteria of H2020 Proposals

1. Excellence

- **Clarity of the objectives**
- Soundness of the concept, including transdisciplinary considerations
- Credibility of the proposed approach
- Progress beyond the state of the art

2. Impact: [...] contribution to:

- The expected impacts listed in the Work Programme/Call
- Enhancing **innovation capacity** and integration of new knowledge
- Strengthening the **competitiveness** and growth of companies by developing innovations **meeting the needs of European and global markets**
- measures to, **disseminate** and **exploit** the project results,... **communication**.



3. Quality & efficiency of implementation

- **Coherence** and **effectiveness** of Work Plan, **Allocation of Tasks, Resources**
- **Competences, experience and complementarity** of the individual participants, as well as of the consortium as a whole
- Appropriateness of the management structures and procedures....**risk management**.

Scoring/Weights/Thresholds in H2020 Evaluation

- Each criterion is scored out of 5; individual threshold of 3;
- Overall threshold of 10

- If total score for 2 proposals is equal, a prioritization is applied:
 - 1st prioritization based on Excellence
 - 2nd prioritization based on Impact
- For **Innovation Actions** and **SME instruments**:
 - 1st prioritization based on Impact
 - 2nd prioritization based on Excellence

- If necessary, any further prioritization will be based on the following factors :
 1. Size of budget allocated to SMEs
 2. Gender balance among the personnel named in the proposal who will be primarily responsible for carrying out the research and/or innovation activities
 3. Decision of panel based on how to enhance the quality of the project portfolio through synergies between projects

Principles for Experts

- **Independence**
 - Experts are evaluating in a personal capacity
 - They represent neither their employer, nor their country!
- **Impartiality**
 - Expert must treat all proposals equally and evaluate them impartially on their merits, irrespective of their origin or the identity of the applicants
- **Objectivity**
 - Expert evaluate each proposal as submitted; meaning on its own merit, not its potential if certain changes were to be made
- **Accuracy**
 - You make your judgment against the **official evaluation criteria** and the call or topic the proposal addresses, and **nothing else**
- **Consistency**
 - Experts must apply the same standard of judgment to all proposals



Experts evaluate the proposals as submitted

- In H2020 there is negotiation phase:
- **Reduction of the time from submission of a proposal, evaluation and signature of the grant to a maximum of 8 months:**
max. 5 months for evaluation + **max. 3 months for grant signature**



- The experts evaluate each proposal **As Submitted to EU**
- Not on its potential if certain changes were to be made.
- **Individual evaluations shall not contain any recommendations (i.e. do not suggest additional partners, additional work packages, resources cut)**
- **Any identified shortcomings should be reflected in lower scores**

Significant shortcoming = one that would prevent the project from achieving its objective, e.g. the serious over-estimation of resources



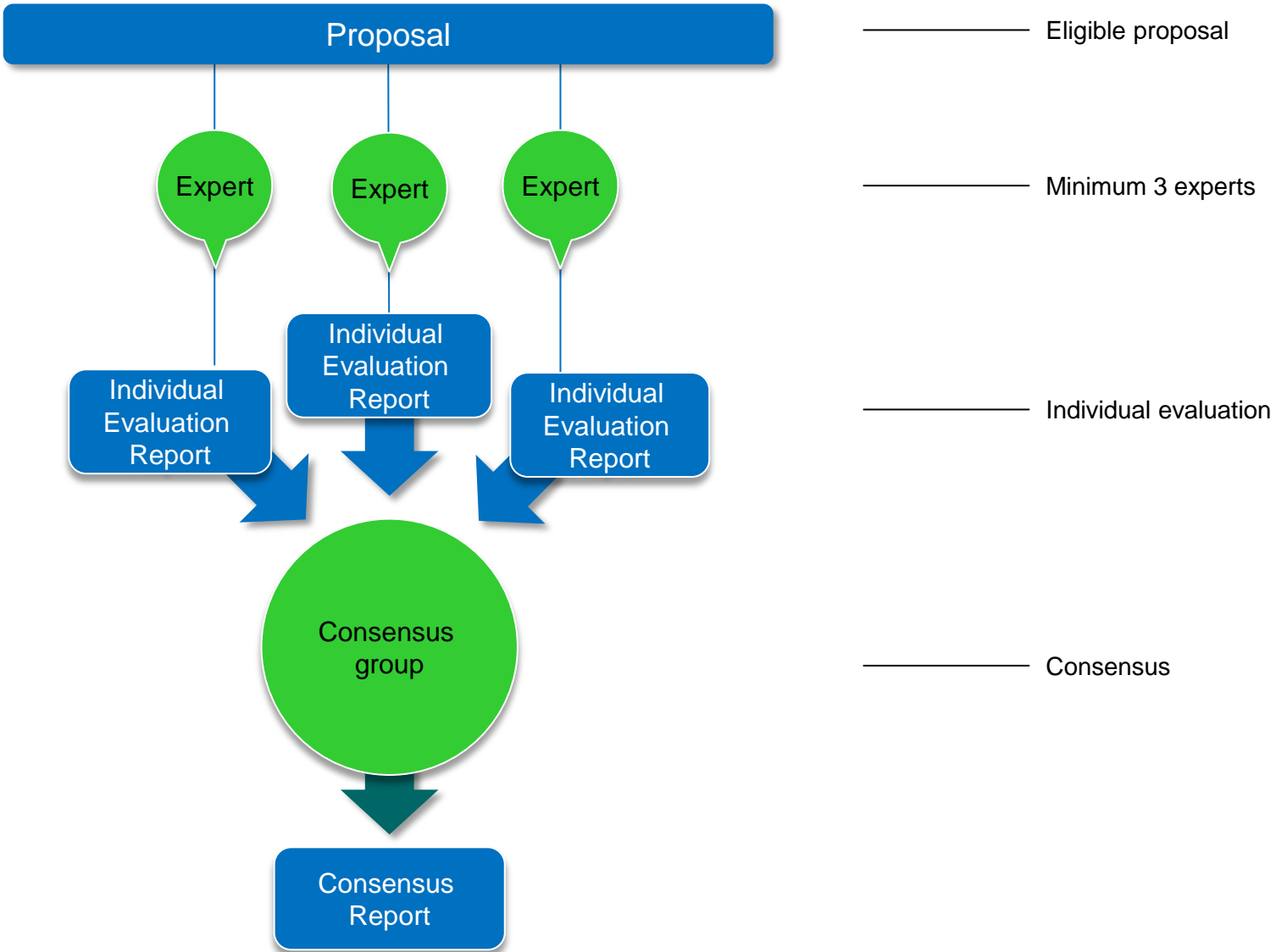
- As part of the Individual Evaluation, the experts give their view on whether each applicant has the necessary basic operational capacity to carry out their proposed activity(ies) based on the information provided
 - Curriculum Vitae
 - Relevant publications or achievements
 - Relevant previous projects or activities
 - Description of any significant infrastructure or any major items of technical equipment
- At the consensus meeting, they consider whether an applicant lacks basic operational capacity

=> If yes, the experts make comments and score the proposal without taking into account this applicant and its associated activity(ies)

Social media: Take great care not to post pictures or comments on evaluation matters through social media



- **Not discuss evaluation matters, such as the content of proposals, the evaluation results or the opinions of fellow experts, with anyone, including:**
 - Other experts or Commission/Agencies staff or any other person (e.g. colleagues, students...) not directly involved in the evaluation of the proposal
 - *The sole exception:* your fellow experts who are evaluating the same proposal in a consensus group
- **Not contact with sub-contractors or any third parties**
- **Not disclose the names of your fellow experts**
 - The Commission publishes the names of the experts annually - as a group, no link can be made between an expert and a proposal
- **Maintain the confidentiality of documents**
 - Please take nothing away from the evaluation building (be it paper or electronic)
 - Return, destroy or delete all confidential documents, paper or electronic, upon completing your work, as instructed



- 0** The proposal fails to address the criterion or cannot be assessed due to missing or incomplete information.
- 1** **Poor.** The criterion is inadequately addressed, or there are serious inherent weaknesses.
- 2** **Fair.** The proposal broadly addresses the criterion, but there are significant weaknesses.
- 3** **Good.** The proposal addresses the criterion well, but a number of shortcomings are present.
- 4** **Very Good.** The proposal addresses the criterion very well, but a small number of shortcomings are present.
- 5** **Excellent.** The proposal successfully addresses all relevant aspects of the criterion. Any shortcomings are minor.

Remote Individual Evaluation

- **The experts read the proposal and evaluate it against the evaluation criteria**

- Without discussing it with anybody else
- **As submitted - not on its potential if certain changes were to be made**

new

Look at the substance: Some proposals might be handicapped by language difficulties

- **The experts check to what degree the proposal is relevant to the call or topic**

new

- **They complete an Individual Evaluation Report (IER)**

- Give their view on operational capacity
- Give comments and scores for all evaluation criteria (scores must match comments)

new

- **They then sign and submit the form in the electronic system**

new

- **Discussion on the basis of the individual evaluations**
 - It is not just a simple averaging exercise
- **Aim → to find agreement on comments and scores**
 - **Agree comments before scores!**
 - If an applicant lacks basic operational capacity, you make comments and score the proposal without taking into account this applicant and its associated activity(ies)
- **“Outlying” opinions need to be explored**
 - They might be as valid as others – be open-minded
 - It is normal for individual views to change
- **Moderated by the European Commission**
 - Manages the evaluation, protects confidentiality and ensures fairness
 - Ensures objectivity and accuracy, all voices heard and points discussed
 - Helps the group keep to time and reach consensus

- **The *rapporteur* is responsible for drafting the CR**
 - Including consensus comments and scores
 - The quality of the CR is paramount
- **The aim of the CR is to give:**
 - A clear assessment of the proposal based on its merit, with justification
 - Clear feedback on the proposal's weaknesses and strengths
- **Avoid:**
 - Comments not related to the criterion in question
 - Comments that are too short or too long or use inappropriate language
 - Scores that don't match the comments
 - Making recommendations
 - Marking down a proposal for the same critical aspect under two different criteria

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Σας ευχαριστώ για την προσοχή σας!